## **CLAIMS**

What is claimed is:

1-5. (canceled)

6. (currently amended) A data management system, said system characterized as a composite system comprising at least one <u>computer processor</u>, the system comprising a plurality of processes;

each process having an interface and implementing at least one respective service defined by that interface;

a first invocation of the at least one respective service by a transaction resulting in the creation of a first transaction local to the process thereof, the first local transaction being a child of the invoking transaction and being parent of any transaction triggered by invocation of a service of another process;

a second invocation of the at least one respective service by a transaction resulting in the creation of a second transaction local to the process thereof, the second local transaction being a child of the invoking transaction and being parent of any transaction triggered by invocation of a service of another process;

each process characterized in that if the first transaction and the second transaction conflict but are both children of a same invoking transaction, then the first transaction and the second transaction are not executed concurrently;

each process further characterized in that each transaction local thereto is independently handled at the process;

each process making scheduling and recovery decisions independent of any centralized component.

7. (previously presented) The system of claim 6 wherein a root transaction is able to dynamically set concurrency preferences for a resulting distributed transaction, based on client needs, wherein the concurrency preferences specify the extent to which shared resource access is desired or allowed or denied among descendant transaction invocations of the root invocation or user and other, concurrent transaction invocations who are also descendants of the same root.

## 8. (currently amended)

A method for use with a data management system, said system characterized as a composite system, the system comprising a plurality of processes, each process having an interface and implementing at least one respective service defined by that interface, invocation of the at least one respective service by a transaction resulting in the creation

of a transaction local to the process thereof, the local transaction being a child of the invoking transaction and being parent of any transaction triggered by invocation of a service of another process, each process further characterized in that each transaction local thereto is independently handled at the process, each process making scheduling and recovery decisions independent of any centralized component, the method comprising the steps of:

propagating from a first process to a second process a message indicative of a globalCommit operation with respect to a root transaction, said message also indicative of a number or identifying list of transactional invocations which the first process has made to the second process on behalf of the root transaction;

within the second process, comparing the number or list indicated in the message with a count or list within the second process of the number or list of transactional invocations which have been made on behalf of the root transaction;

in the event the comparison yields a non-match, aborting the transaction.

- 9. (currently amended) The <u>method</u> of claim 8 wherein each process is <u>executed</u> using Java.
- 10. (original) A method for use with a data management system, said system characterized as a composite system, the system comprising a plurality of processes, each

process having an interface and implementing at least one respective service defined by that interface, invocation of the at least one respective service by a transaction resulting in the creation of a transaction local to the process thereof, the local transaction being a child of the invoking transaction and being parent of any transaction triggered by invocation of a service of another process, each process further characterized in that each transaction local thereto is independently handled at the process, each process making scheduling and recovery decisions independent of any centralized component, the method comprising the steps of:

propagating from a first process to a second process a message indicative of a globalCommit operation with respect to a root transaction, said message also indicative of a number or list of invocations which the first process has made to the second process on behalf of the root transaction;

within the second process, comparing the number or list indicated in the message with a count or list within the second process of the number or list of invocations which have been made on behalf of the root transaction;

in the event the comparison yields a match, proceeding with the globalCommit operation.

11. (original) A method for use with a data management system, said system characterized as a composite system, the system comprising a plurality of processes, each process having an interface and implementing at least one respective service defined by

that interface, invocation of the at least one respective service by a transaction resulting in the creation of a transaction local to the process thereof, the local transaction being a child of the invoking transaction and being parent of any transaction triggered by invocation of a service of another process, each process further characterized in that each transaction local thereto is independently handled at the process, each process making scheduling and recovery decisions independent of any centralized component, the method comprising the steps of:

propagating from a first process to a second process a message indicative of a globalCommit operation with respect to a root transaction, said message also indicative of a number or list of invocations which the first process has made to the second process on behalf of the root transaction;

within the second process, comparing the number or list indicated in the message with a count or list within the second process of the number or list of invocations which have been made on behalf of the root transaction;

in the event the comparison yields a non-match, aborting the transaction.

12. (currently amended) A distributed system using a two-phase commit protocol, said system characterized as a composite system comprising at least one <u>computer processor</u>, the system comprising a plurality of processes;

each process having an interface and implementing at least one respective service defined by that interface;

each or any two-phase commit message exchange between processes also carrying information about the actual work being committed.

- 13. (previously presented) The system of claim 12, such information being logged for recoverability in the event of a crash, such information being used for assistance at any time before, during or after global commitment.
- 14. (original) The system of claim 12 or 13, wherein any globalCommit requires a registration, and wherein the registration for a globalCommit also carries information about the actual work being committed.
- 15. (previously presented) A method for use in a distributed system, said system characterized as a composite system, the system comprising a plurality of processes, each process having an interface and implementing at least one respective service defined by that interface, the method comprising the step of: for each globalCommit message exchanged between processes, including also information about the actual work being committed.
- 16. (previously presented) The method of claim 15 further comprising the step of logging such information for recoverability in the event of a crash, such information being used

for assistance at any time before, during or after global commitment.

17. (original) The method of claim 15 or 16 further comprising the step of propagating a registration for a globalCommit, wherein the registration for a globalCommit also carries information about the actual work being committed.

18. (currently amended) A distributed system, said system characterized as a composite system comprising at least one <u>computer</u> processor, the system comprising a plurality of processes;

each process having an interface and implementing at least one respective transactional service defined by that interface;

wherein a root transactional invocation or, alternatively, a root's human user is allowed to dynamically set its or his concurrency preferences for an entire transactional invocation;

wherein the root invocation (transaction) propagates the concurrency preferences with each or any child invocation it makes; and

wherein the propagated concurrency preferences at any level in the root invocation's invocation hierarchy specify the extent to which shared resource access is desired or allowed or denied among descendant transactional invocations of the root invocation or user and other, concurrent transactional invocations who are also descendants of the same

root.
19. (canceled)
20. (previously presented) The system of claim 18 wherein any or each invocation propagates the concurrency preferences as it has received them from the root invocation.
21-22. (canceled)
23. (currently amended) A <u>computerized</u> data management system, referred to as transactional service, comprising:
one or more operations that can be invoked by remote clients;
some or all such remote clients having one or more associated transaction contexts;
an invocation of the service by a remote client also containing partial or complete information indicating or containing said client's transaction context or contexts;
an invocation of the service, by a remote client, of an operation leading to a new

transaction different from, but possibly related to, any existing client transaction;

such an operation-level transaction being committed before the client transaction context is terminated before globalCommit notification;

the transactional service locally maintaining an undo operation for such a committed operation; and

a failing or failed remote client transaction context leading to the execution of the locally maintained undo operations of the corresponding committed invocations in the transactional service.

- 24. (previously presented) The system of claim 23 where some or all undo operations are executed in an order that is the reverse of an order of their original counterparts.
- 25. (previously presented) The system of claim 23 where in addition the undo operations are chosen or defined in the same system as the one where corresponding normal operations were executed.
- 26. (previously presented) The system of claim 23 where some or all undo operations are unknown to the transactional context of a remote client.
- 27. (previously presented) The system of claim 23 where some or all undo operations are executed after a timeout and independent of whether the client's transaction context outcome requires such undo.

- 28. (previously presented) The system of claim 23 wherein an undo operation is with respect to an original operation, where an undo operation's effects are confined to data managed by the transactional service on which the undo operation is maintained, even if the respective original operation involved other services.
- 29. (previously presented) The system of claim 23 where the service keeps locks on transactions to ensure that undo operations can be executed correctly.
- 30. (original) The system of claim 23 where client context-related information is also part of any global commit message exchanges.
- 31. (original) The system of claim 23 where client context information includes application-specific data.
- 32. (previously presented) The system of claim 31 where all or part of the context information is logged by storing on persistent storage, and retrievable by a human administrator.
- 33. (original) The system of claim 23 where the service accepts messages indicative of which previously committed operations have to be undone.

- 34. (previously presented) The system of claim 23 where the service accepts messages indicative of which previously committed operations do not have to be undone.
- 35. (previously presented) The system of claim 23 where some or all invocations are message-based or asynchronous.
- 36. (original) The system of claim 23 where some or all invocations are synchronous.
- 37. (previously presented) The system of claim 23 where the client can request the undo executions of its invocations at the service while still allowing globalCommit.